

Transdisciplinary Knowledge Creation

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Introduction

The focus of this book is thinking about and through transdisciplinarity and professional development *as* an educative process. These three ideas are not normally brought together. Professional development refers to acquiring new knowledge and skills to inform *one's practice* (Jasper 2006). Transdisciplinarity is concerned with creating new, integrative knowledge to address the complex problems of *the world* (Nicolescu 2002). “The educative process is *a series of inner changes* through which an individual is *transformed* from an immature personality to a mature personality. . . . [He or she] is a developing personality which responds to all the external conditions and through his [or her] responses grows into a new and progressively more *complex self*” (Judd et al. 1923, p. 33, emphasis added).

In more detail, through the educative process (series of inner changes), people may experience global changes to their concept of themselves. Indeed, some people recognize and attribute the educative process as the catalyst for their transformative change. The process by which people change while engaged in learning (i.e., the educative process) presupposes the possibility that people might have belief problems (philosophical and pragmatic) that shape their judgement of self. Not surprisingly, the educative process is interpreted by each person based on their own experiences; that is, what and how did they learn and how did that change them (Campbell-Higgins 2000).

This chapter frames the transdisciplinary enterprise as an educative process by which people become a more complex self as they engage in transdisciplinary work using the transdisciplinary methodology. In turn, this complex self, who has experienced a series of inner changes (paradigmatic, intellectual and philosophical), can better contribute to solving the problems of the world using the transdisciplinary methodology.

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To begin to weave these three ideas together, note that professional development is considered to be a process of *personal* growth through programs, services and activities designed to enable people, individually or collectively, to enhance their professional and disciplinary practice (Jasper 2006); it is an educative process. From a non-transdisciplinary perspective, Jasper (2006) explains that “the main ways we develop professionally. . . are through the practice of *our* profession [and discipline] itself, and the stimulation from the practice world that makes us continually build on *our* existing knowledge, *seek out* new knowledge and skills, make connections between *our* knowledge base *and* the challenges we encounter in *our* practice, and learn from *our* experiences” (p. 2, emphasis added). Professional development from this perspective is a very personal and private experience.

In contrast, from a Nicolescuian transdisciplinary perspective, professional development would expand to include developing professionally through the practice of one’s profession and discipline *in concert with* others, instead of alone. Instead of just become a more learned person, people from a myriad of professions, disciplines and societal sectors would collaboratively work to address complex problems. They would creatively co-generate new knowledge by integrating multiple perspectives using an inclusive logic (to be discussed shortly). Concurrently, people would grow personally and develop professionally (possibly couched within their discipline) through this transdisciplinary enterprise.

To echo Judd et al.’s (1923, p. 33) definition of the educative process, transdisciplinary knowledge creation would help participants “grow into new and progressively more complex” global selves and into collaborative, complex problem solvers. To develop this idea, this chapter introduces the concepts of *transdisciplinary self* and *transdisciplinary maturity* (reflecting Judd et al.’s conception of the educative process). The genesis of transdisciplinarity is briefly discussed, followed with a detailed overview of Basarab Nicolescu’s transdisciplinary methodology.

The Genesis of Transdisciplinarity

Transdisciplinarity was introduced to the world in 1972 at a Parisian seminar held by the Organization for Economic Cooperation and Development (OECD). Conceived as a concept in the early seventies (Apostel et al. 1972; Jantsch 1972; Kocklemans 1979; McGregor 2010), it has only just recently gained momentum and grudging acceptance as a necessary paradigmatic, methodological and intellectual innovation. Transdisciplinarity is a relatively new, nascent approach to knowledge creation, competing with longstanding multi- and interdisciplinarity (Du Plessis et al. 2013). Transdisciplinarity remains “a rather elusive concept” that continues to evolve (Jahn et al. 2012, p. 1).

Recognizing that there are two dominant transdisciplinarity camps (Augsburg 2014; Klein 2004), this chapter showcases the approach championed by physicist Basarab Nicolescu (and philosopher Edgar Morin); hence, the moniker *Nicolescuian transdisciplinarity*. They view transdisciplinarity as a *new methodology* to create

knowledge, with attendant axioms for what counts as reality, logic, and knowledge (to be discussed shortly). The other camp (frequently referred to as the Swiss, Zurich or German school) emerged from an *International Transdisciplinary Conference* held in Zurich in 2000 (see proceedings at Klein et al. 2001). The Zurich camp conceptualizes transdisciplinarity as a *new type of research*, called Mode 2 research (see Gibbons et al. 1994), informed by the post-normal science perspective (see also Nowotny 2003). New knowledge is not the express intent, nor does it advocate axioms for knowledge generation, as does the Nicolescuian methodological approach.

Regarding the Nicolescuian approach, an editorial committee at the *First World Congress of Transdisciplinarity* (Portugal, in 1994) drafted a *Charter of Transdisciplinarity* (de Freitas et al. 1994). This charter contains 14 articles referring to the notions of multiple realities, different types of logic, the complexity of the world, and the need for a *transdisciplinary attitude* for those engaged in complex problem solving between and beyond disciplines. Nicolescu (2002) further develops these ideas in his book titled *Manifesto of Transdisciplinarity*. In the spirit of a manifesto, it promotes a new idea (i.e., transdisciplinarity) with prescriptive notions for carrying out the changes he felt should be made in knowledge creation; that is, a new methodology in its own right.

In more detail, drawing from quantum physics (and other aligned sciences), Nicolescu (2002) describes transdisciplinarity as multidimensional and supported by the following three pillars (philosophical axioms) : (a) knowledge as complex and emergent (epistemology); (b) Multiple Levels of Reality mediated by the Hidden Third (ontology); and, (c) the Logic of the Included Middle, which contrasts with Classical exclusive logic (Nicolescu 2008). Although Nicolescu eschews the addition of a fourth axiom dealing with values (i.e., axiology) (see Nicolescu 2011a, p. 37), others believe it should be included (see Du Plessis et al. 2013) and Cicovacki (2009) and McGregor (2011) who have developed arguments for its inclusion in the transdisciplinary (methodology). This chapter will focus on Nicolescu's three axioms.

Three Axioms of Nicolescuian Transdisciplinarity

The basic premise of this chapter is that professional development (emerging into a new complex self) can happen through the transdisciplinary practice of one's profession and discipline *in concert with* divergent others who are co-generating new knowledge. Conversely, transdisciplinary knowledge creation would help participants grow into new and progressively more complex global selves and collaborative, complex problem solvers. This rich, reciprocal relationship necessitates being open to very different notions of longstanding approaches to the axioms of knowledge, logic and reality. Without this *methodological openness*, people will not be able to engage in transdisciplinarity and will remain relegated to their particular disciplines. This *methodological segregation* will affect the educative process, compromising the ability to become a more complex intellectual self, and by association, one's ability to engage in transdisciplinary problem solving.

For clarification, conventional approaches to knowledge creation include empirical, interpretive and critical methodologies, which are inspired by Habermas' (1984) theory of communicative action and what constitutes knowledge (i.e., empirical, interpretive and critical lines of inquiry). These approaches fall within the positivistic/post-positivistic and quantitative/qualitative methodological camps. In particular, scientific, empirical approaches tend to be framed as positivistic and quantitative. Interpretive and critical fall more into the post-positivistic and qualitative camps, although one can engage in positivistic qualitative work if numerical measurements are involved (McGregor and Murnane 2010). Table 1 summarizes the main differences between how these three methodologies conceive reality, knowledge and logic, juxtaposed against Nicolescuian transdisciplinarity. It is evident that the transdisciplinary methodology goes far beyond the other methodologies, yet it depends upon them and complements them as well (de Freitas et al. 1994). The rest of the paper spells out the nuances of Nicolescu's three pillars (axioms) of transdisciplinarity (see primer in the right-hand column of Table 1).

Ontology—Multiple Levels of Reality Mediated by the Hidden Third

The development of more complex, transdisciplinary selves, who could engage in jointly addressing complex problems, would involve profound changes in the way people view reality. They would have to first acknowledge how they *do* view reality and then let that go for a more progressive and radical approach. Because of the power of the Western, positivistic, empirical world view (Du Plessis et al. 2013), most people align with reality as understood by positivism and empiricism (see Table 1). They assume there is *one single reality* that people cannot see, yet believe is *out there*. It is made up of discrete elements that have been in existence since the beginning of time. This approach presumes the building blocks for everything (for life) *already* exist, and are just waiting for someone to *reconfigure them*. When all of these elements are found through the scientific method, a full picture of reality will exist. This view of the world also presumes that reality follows a predetermined path. The principle of determinism (aiding predictability) holds that any event is completely determined by previous events (linear cause and effect). This principle rids people of any agency or free will (i.e., purposeful actions or conscious participation). Also, it is assumed reality is external to our consciousness; it is not a product of our minds (Bullard 2011; Heylighten 2006).

Nicolescuian transdisciplinarity evokes a profoundly different notion of reality, one that better accommodates the complexity, diversity and contradictions in perspectives in the world. Rather than just one reality, transdisciplinarity holds there are multiple levels of realities, with interaction and movement amongst them mediated by, what Nicolescu (2002) calls, the Hidden Third.

In a major push back against Newtonian dualism and the singular notion of matter-based reality, Nicolescu proposes that transdisciplinary (TD) ontology encompasses

Table 1 Comparison of methodological axioms

	Empirical	Interpretive	Critical	Transdisciplinary
<i>Reality (Ontology)</i>	<p>The <i>one reality</i> is <i>out there</i>, a single reality that people cannot see</p> <p>It is made up of discrete elements; when we find them all through the scientific method, we will have a full picture of reality</p> <p>Reality is external to our consciousness (not a product of our minds)</p>	<p>Reality is <i>conditional</i> upon human experiences</p> <p>Reality is socially and collectively constructed via the lived experiences of people</p> <p>Reality can be a product of a person's mind OR a product of interactions with others or one's context</p>	<p>Reality is <i>of the world</i>, not imagined, and never fully understood</p> <p>Reality is <i>here and now</i>, shaped by politics, social, gender, values and culture, and deeply mediated by power relations</p> <p>Reality is constructed within an oppressive context and reconstructed after challenging the status quo</p>	<p>Multiple realities (upwards of 10) evident along three levels (internal, external and the Hidden Third)</p> <p>Reality is <i>plastic</i> and always <i>in flux</i>, always <i>moving</i></p> <p>Interaction amongst the internal and external levels happens in the quantum vacuum (not empty but ripe with potentials)</p> <p>Interaction in this fecund vacuum is lubricated by the Hidden Third, creating a zone of non-resistance to others' views on reality (where multiple and contradictory perspectives can be integrated; what appears to be contradictory can temporarily be joined)</p>
<i>Knowledge (Epistemology)</i>	<p>The <i>one truth</i> is out there waiting to be discovered</p> <p>Knowledge is discovered using the scientific method</p> <p>knowledge is objective (bias free)</p>	<p>Truth is <i>created</i> and there is more than one truth (perspectives)</p> <p>There are many ways of knowing aside from the scientific method</p> <p>knowledge is constructed by people</p>	<p>Truth is grounded in the context, in social, political and historical practices</p> <p>Knowledge is created through critically questioning the way things "have always been done"</p> <p>knowledge is transformative, consensual and normative (dialectic)</p>	<p>Knowledge is based on cross-fertilization; that is, it is co-created through an emergent, iterative process amongst those from the academy and civil society</p> <p>Resultant knowledge is characterized by complexity, emergence, (re)organization and embodiment (rather than complicated, static and discipline-bound)</p> <p>knowledge is alive; it is 'in-formation', created from intellectual fusion and integrative synergies</p>

Table 1 (continued)

	Empirical	Interpretive	Critical	Transdisciplinary
	<p>Knowledge is viewed through the lens of reductionism, determinism, disjunction (separation), predictability, and linear causality</p>	<p>Knowledge is subjective and value laden</p>		<p>Knowledge is transcendent in that those involved give up sovereignty of their domain to create a temporary fecund space for the emergence of new knowledge</p>
<p><i>Logic</i></p>	<p>Deductive, rational, formal logic</p>	<p>Inductive logic</p>	<p>Inductive logic (more inclusive than empirical)</p>	<p>Inclusive logic (Logic of the Included Middle)</p>
	<p>Clear distinction between facts and values</p>	<p>Concerned with discerning how people understand and make sense of their world by observing them in their world</p>	<p>Inductive logic is used to <i>induce</i>; that is, to persuade or lead people to new insights, especially to reveal ideologies and power relationships that are keeping them oppressed</p>	<p>Accommodates the eventual, possible, creation of new, integrative knowledge that does not <i>yet</i> exist</p>
	<p>Exclusive logic, which involves cause and effect, linear thinking, reductionism, and either/or approaches (dualism) with no room for contradictions</p>	<p>Inductive logic <i>suggests</i> truth but does not ensure it</p>		<p>This logic enables people to imagine that the space between things is alive, dynamic, in flux, moving, perpetually changing and full of potential and eventualities</p>
		<p>The truth generated using inductive logic is <i>probable</i> (not certain, as with deductive), based upon the given evidence (there is a chance it can be proven false)</p>		<p>Inclusive logic is used to move through the different types of reality in the zone of non-resistance, leading to unlikely integration of realities and perspectives</p>
				<p>Tensions between people are assumed to hold things together as they emerge through synergistic chaos</p>

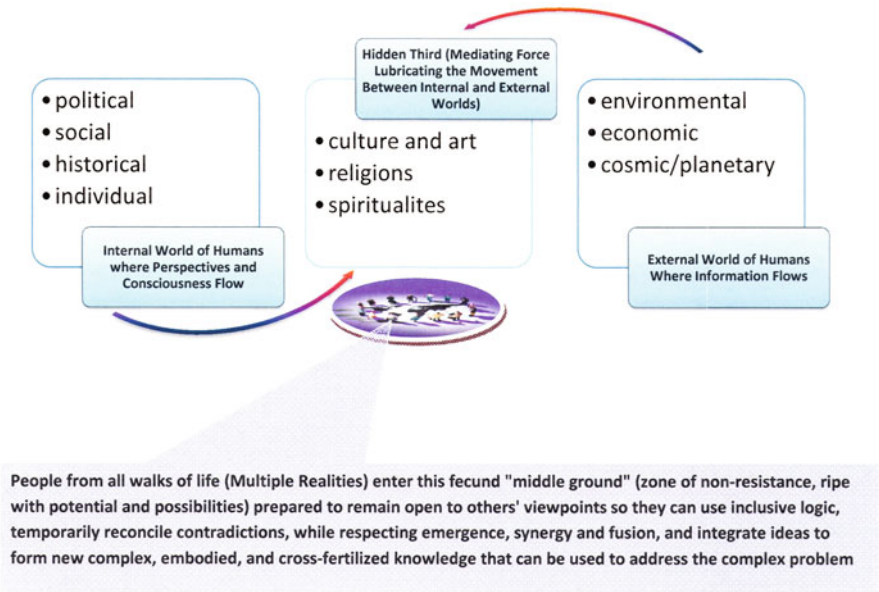


Fig. 1 Transdisciplinary knowledge creation

at least 10 different Realities (disciplinary and sectoral perspectives and view points), aside from just the physical, material reality. Per his convention, this chapter capitalizes the word Reality when referring to his approach. These 10 Realities are organized along three levels. Level one is the internal world of humans, where *consciousness* and *perspectives* flow—the TD-Subject (comprising four Realities: political, social, historical, and individual). Level two is the external world of humans where *information* flows—the TD-Object (comprising three different Realities: environmental, economic, and cosmic/planetary). Interaction and movement amongst the previous two levels are mediated by the Hidden Third level. Peoples’ experiences, intuitions, interpretations, descriptions, representations, images, and formulas meet on this third level. As well, three additional Realities exist in this intuitive zone of non-resistance to others’ ideas, this mediated interface: culture and art, religions, and spiritualities (Nicolescu 1985, 2002), see Fig. 1.

Of deep significance to Nicolescu’s approach to transdisciplinarity is that while each of the 10 Realities is characterized by its incompleteness, in *unity*, they generate new, infinite transdisciplinary knowledge (Nicolescu 2005). This approach to Reality (ontology) is profoundly different from the Newtonian notion of *one* level of reality, the empirical (physical) reality, materialism, predicated on the notion of *matter*. TD transreality includes matter *as well as* consciousness, perspectives, emotions and various approaches to what counts as knowledge and ways of knowing (far beyond Newtonian exclusionary dualism and disciplinarianism).

More about the Hidden Third. Nicolescu needed a concept to accommodate people resisting other people’s world views, and a way to allow for the integration of these

world views to create new knowledge. Being a quantum physicist, he was inspired by the quantum vacuum, which is actually *not* empty, it is just at its lowest energy point, ready for emergence and potential. With this inspiration, he coined the term the Hidden Third. The word ‘hidden’ obviously means it is invisible. The word ‘third’ typically refers to someone playing a mediating role between two entities. Succinctly, Nicolescu (2011a) suggests that the Hidden Third (the quantum vacuum) refers to a zone of non-resistance to others’ views on Reality that plays the mediating role of a third between information and consciousness and perceptions. It acts like a *secretly included* middle agent that allows for temporary unification of, what are normally, contradictory ideas (Nicolescu 2005).

Still inspired by the quantum vacuum, Nicolescu (1985, 2011a) posits that the Hidden Third is a way to conceive of people moving to a place where they become open to others’ perspectives, ideologies, value premises and belief systems, inherently letting go of aspects of how they currently *know* the world. To that end, he assumed Reality is always in flux, that it is plastic (Cillier and Nicolescu 2012; Nicolescu 2011b), meaning it is malleable and pliable. Transdisciplinarity is deeply concerned with the dynamics created by the simultaneous action of several Levels of Reality; that is, the *movement* of Reality, facilitated by the lubricating role of the Hidden Third (Nicolescu 1999). The result of this *transmovement* is the emergence of new transdisciplinary knowledge, possible because people’s eyes and minds have been opened to other points of view, which can be integrated using the Logic of the Included Middle.

Inclusive Logic of the Included Middle

Per Table 1, positivistic, empirical approaches rely on deductive, formal logic. And, “[d]espite the limitations of classical, binary logic that have been laid bare by modern physics, contemporary scientific and western cultural thinking is still dominated by the Aristotelian tradition of *exclusive*. . . logic” (Cole 2006, p. 11, emphasis added). It is called exclusive because it *negates* the possibility of co-existing contradictions, striving instead for consistency. Classical deductive logic holds that something is consistent if it does not have contradictions (see more below). Presuming that contradictions compromise both regularity and consistency negates (pun intended) the possibility of connecting diverse ideas to create necessary complexity, this exclusive logic precludes inclusive solutions to humanity’s complex problems.

In more detail, Classic linear logic (Newtonian exclusive logic) is based on three fundamental axioms (i.e., self-evident truths, not susceptible to proof or disproof): (a) the axiom of identity: A is A; (b) the axiom of non contradiction: A is not non-A; and, (c) the axiom of the excluded middle, meaning there is no third term **T** (Latin *tiers*), which is simultaneously A and non-A. Classical, exclusive logic says A and non-A cannot exist at the same time; classical scholars call this idea *consistency*. In classical logic, a *contradiction* exists when people try to say A (he is brave) and non-A (he is not brave) *exist at the same time*. In simple language, there is no *third*

possibility of, for example, being brave and not brave, at the same time (Cole 2006; Nicolescu 1985). Suggesting such a thing is *illogical*; he is either brave or he isn't. Something is either true or it isn't. We all know of people who are brave in some situations and not in others, but exclusive logic denies this possibility of co-existing contradictions. Exclusive logic assumes that ideas that are antagonistic cannot be connected (Brenner 2005, 2008).

Brenner (2005) coined the term *transconsistent logic* to accommodate the inclusive nature of transdisciplinary logic. If Classical science is predicated on consistency, transdisciplinarity must expand to include *transconsistency*. Brenner understood this to mean the “realm beyond the consistent” (2008, p. 161), where new knowledge can emerge because potential was released and acted upon. He explains that when A is *actualized* (exists in fact), non-A is *potentialized* (has the capacity to exist in the future) and vice versa, alternately, without either ever disappearing completely (Brenner 2005, 2008). Both the scientist and the indigenous elder retain their identity while a new insight is gained from their interaction. “[T]hat which appears to be disunited is united, and that which appears to be contradictory is perceived as noncontradictory” (Nicolescu 2008, p. 7). “Opposing aspects of a phenomenon that are generally considered independent can thus be understood as being in dynamic relationship” (Brenner 2005, p. 3).

Brenner, explains that “the law of the excluded middle [is] a limiting case. . . only instantiated in *simple* situations” (2005, p. 3), and also introduces the idea of *logic in reality* (LIR) (Brenner 2011). He purposely intends LIR to reflect complexity, and views it as a “logical principle of dynamic opposition, an antagonistic duality inherent in. . . all real physical and non-physical phenomena” (Brenner 2011 p. 3). Nicolescu (1985) refers to the logic required for emergent, complex situations as the *Logic of the Included Middle*. Inclusive logic accommodates the eventual, possible, creation of new integrative knowledge that does not yet exist. It does so by permitting each of (a) empty domains, (b) worlds that do not exist, and (c) worlds that might eventually exist (Nolt 2010). To exclude any of these domains, realities or worlds negates complex solutions to transdisciplinary problems.

In more detail, realizing that in order to address the complex problems facing humanity, there *had* to be way to reconcile the co-existing (a) certainty of consistencies and (b) possibilities opened up with contradictions, Nicolescu (1985, 2011b) proposes a change to the third classical linear logic axiom, submitting that a third term **T** *can* exist, which is simultaneously A and non-A. The Logic of the Included Middle informs the third “T”, which stands for *tiers inclus*, the included third (Nicolescu 1985), that which can co-exist in contradiction.

In these instances (for example in the contentious social, economic and political spheres), topics, ideas and people that should logically be excluded or antagonistic *can* be connected (Brenner 2008). Transdisciplinary quantum logic assumes that when A and non-A *do* co-exist at the same time, when a third temporary state *does* emerge, a *contradiction* is resolved at a higher level of reality or complexity. This new state represents the result of two contradictory things interacting and coming to a temporary resolution (Ramadier 2004) (e.g., the scientist accepting insights gained from a narrative reflecting an indigenous wisdom tradition).

Brenner (2011) actually proposes the term *included emergent middle* for these sorts of reality, explaining that the “logic of an *included* middle consist[s] of axioms and rules for determining the state of the three dynamic terms involved in a phenomenon” (Brenner 2005, p. 3); that is, A, non A and an emergent, more complex, temporary Third state. This inclusive logic best describes a transdisciplinary picture of reality, where solutions emerge in the fecund middle, the vacuum. In conclusion, the existence of this inclusive logic and related principles, and the ontology based on them (what counts as reality), bears directly on the problem of the unity of knowledge.

Knowledge as Complex, Emergent, Embodied and Cross-fertilized

The third basic tenet of Nicolescuian transdisciplinarity is complexity. In some documents, he actually refers to *the epistemology axiom* (e.g., 2010), but he usually calls it the *Complexity Axiom: The Universal Interdependence* (see Nicolescu 2011a, p. 36). He believes that complexity is a modern form of the ancient principle of universal interdependence, in that “everything is dependent on everything else, everything is connected, nothing is separate” (Nicolescu 2004, p. 48). Morin (2006) concurs, referring to the “generalized interdependence of everything and everyone” (p. 21). Nicolescu recently recognized the need for a “future detailed study of *transcomplexity*” but he did not define the term except for saying it “unifies different types of complexity” (2010, p. 8). In particular, he commented on horizontal, vertical, transversal and restricted complexity. He has elected to use Morin’s notion of *generalized complexity* (1999, 2005, 2006), claiming it comes the closest to what is needed to deal with transdisciplinary problems. This is likely because Morin (2006) views complexity through an *epistemological lens* that respects chaos, disorder, uncertainty, (re)organization, and emergence, rather than within the epistemology of classical science (see left column in Table 1).

Disciplinary science isolates disciplines from each other and isolates them from their environments. The breaking up of knowledge into separate disciplines “prevents [knowledge] from linking and contextualizing” (Morin 2006, p. 14). To offset this effect, he urges us to “recognize the inseparability of the separable” (p. 16). That is, even though disciplines *can* be separated, if we hope to address the complex problems of the world, they have to be re-conceived as inseparable. Morin explains that “everything that is separated is *at the same time* inseparable” (p. 16, emphasis added). This premise is especially true from the Nicolescuian transdisciplinary perspective, which holds that the academy and the rest of the world are inseparable, and their disparate viewpoints must be voiced and integrated to solve complex human problems. But Morin (2006) also realizes that “our aptitude for connecting is underdeveloped and our aptitude for separating is overdeveloped. . . . [O]ur atrophy of the capacity to connect is increasingly serious in a globalized world” (p. 21).



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